

# CITY AND COUNTY OF SWANSEA

## NOTICE OF MEETING

You are invited to attend a Meeting of the

## EXTERNAL FUNDING PANEL

**At:** Committee Room 5, Guildhall, Swansea

**On:** Wednesday, 5 August 2015

**Time:** 3.30 pm

## AGENDA

Page No.

- 1 **Apologies for Absence.**
- 2 **Disclosures of Personal and Prejudicial Interests.** 1 - 2
- 3 **Minutes:** 3 - 5  
To approve and sign, as a correct record, the Minutes of the External Funding Panel held on 3<sup>rd</sup> June, 2015.
- 4 **Communities for Work (ESF) - to follow.**
- 5 **Current and Forthcoming European and External Funding Applications Update.** 6 - 14
- 6 **Overview of Grant Related Acceptances and Schemes in 2015/16.** 15 - 19
- 7 **Dates and Times of Future Meetings for 2014/2015 Municipal Year (all at 3.30 pm):**
  - 7 October, 2015;
  - 2 December, 2015;
  - 3 February, 2016; and
  - 6 April, 2016.



**Patrick Arran**  
**Head of Legal, Democratic Services & Procurement**  
**30 July 2015**

**Contact: Democratic Services - 01792 636923**

## EXTERNAL FUNDING PANEL (10)

### Councillors

#### Labour Councillors:

Mark C Child	Clive Lloyd
William Evans	Jennifer A Raynor
Robert Francis-Davies	Christine Richards ( <b>Deputy Leader</b> )
Jane E C Harris	Rob C Stewart ( <b>Leader</b> )
David H Hopkins	Mark Thomas

#### Officers:

Dean Taylor	Director of Corporate Services
Sarah Crawley	Head of Poverty & Prevention
Mike Hawes	Head of Financial Services
Wendy Parkin	Senior Lawyer, Legal
Spencer Martin	Voluntary Sector Relationship Coordinator
Kathryn Boyle	Grant Co-ordinator
Paul Relf	European Officer
Democratic Services	
Archives	
Spares	

#### Observers (Non-Voting Members)

Position	Post Holder(s)
Cabinet Members - Invited by the Chair if he deems the items under discussion are relevant	
<b>Attend for Third Sector Matters Only</b> 2 x Representatives from the Third Sector (To be nominated by the Third Sector Working Group)	Carol Green Mary Lithgoe
<b>Attend for Community Action Transformation Fund Matters Only</b> 1 x Representative of Community / Town Council (C/T C) (The Clerk of the relevant Community / Town Council shall be invited to submit comments and on occasion the Chair of the C/T Council or Deputy shall be invited to attend)	Mainly comments only via e-mail however relevant Chair is required by the Panel.

**Total Copies Needed: 20**

## Disclosures of Interest

To receive Disclosures of Interest from Councillors and Officers

### Councillors

**Councillors Interests are made** in accordance with the provisions of the Code of Conduct adopted by the City and County of Swansea. You must disclose orally to the meeting the existence and nature of that interest.

**NOTE:** You are requested to identify the Agenda Item / Minute No. / Planning Application No. and Subject Matter to which that interest relates and to enter all declared interests on the sheet provided for that purpose at the meeting.

1. If you have a **Personal Interest** as set out in **Paragraph 10** of the Code, you **MAY STAY, SPEAK AND VOTE** unless it is also a Prejudicial Interest.
2. If you have a Personal Interest which is also a **Prejudicial Interest** as set out in **Paragraph 12** of the Code, then subject to point 3 below, you **MUST WITHDRAW** from the meeting (unless you have obtained a dispensation from the Authority's Standards Committee)
3. Where you have a Prejudicial Interest you may attend the meeting but only for the purpose of making representations, answering questions or giving evidence relating to the business, **provided** that the public are also allowed to attend the meeting for the same purpose, whether under a statutory right or otherwise. In such a case, you **must withdraw from the meeting immediately after the period for making representations, answering questions, or giving evidence relating to the business has ended**, and in any event before further consideration of the business begins, whether or not the public are allowed to remain in attendance for such consideration (**Paragraph 14** of the Code).
4. Where you have agreement from the Monitoring Officer that the information relating to your Personal Interest is **sensitive information**, as set out in **Paragraph 16** of the Code of Conduct, your obligation to disclose such information is replaced with an obligation to disclose the existence of a personal interest and to confirm that the Monitoring Officer has agreed that the nature of such personal interest is sensitive information.
5. If you are relying on a **grant of a dispensation** by the Standards Committee, you must, before the matter is under consideration:
  - i) Disclose orally both the interest concerned and the existence of the dispensation; and
  - ii) Before or immediately after the close of the meeting give written notification to the Authority containing:

- a) Details of the prejudicial interest;
- b) Details of the business to which the prejudicial interest relates;
- c) Details of, and the date on which, the dispensation was granted; and
- d) Your signature

## **Officers**

### **Financial Interests**

1. If an Officer has a financial interest in any matter which arises for decision at any meeting to which the Officer is reporting or at which the Officer is in attendance involving any member of the Council and /or any third party the Officer shall declare an interest in that matter and take no part in the consideration or determination of the matter and shall withdraw from the meeting while that matter is considered. Any such declaration made in a meeting of a constitutional body shall be recorded in the minutes of that meeting. No Officer shall make a report to a meeting for a decision to be made on any matter in which s/he has a financial interest.
2. A "financial interest" is defined as any interest affecting the financial position of the Officer, either to his/her benefit or to his/her detriment. It also includes an interest on the same basis for any member of the Officers family or a close friend and any company firm or business from which an Officer or a member of his/her family receives any remuneration. There is no financial interest for an Officer where a decision on a report affects all of the Officers of the Council or all of the officers in a Department or Service.

## CITY AND COUNTY OF SWANSEA

### MINUTES OF THE EXTERNAL FUNDING PANEL

HELD AT COMMITTEE ROOM 6, GUILDHALL, SWANSEA ON  
WEDNESDAY, 3 JUNE 2015 AT 3.30 P.M.

#### **PRESENT:**

<b>Councillor(s):</b>	<b>Councillor(s):</b>	<b>Councillor(s):</b>
M C Child	W Evans	C E Lloyd

#### **ALSO PRESENT:**

Councillors J E C Harris, J A Raynor and M Thomas

#### **Officers:**

S Martin	-	Voluntary Sector Relationship Co-ordinator
S Richards	-	Legal
P Relf	-	European Officer
K Boyle	-	Grant Co-ordinator
J Parkhouse	-	Democratic Services Officer

#### 1. **ELECTION OF CHAIR PRO TEM**

**RESOLVED** that Councillor C E Lloyd be elected Chair pro tem for this meeting.

**(COUNCILLOR C E LLOYD PRESIDED)**

#### 2. **APOLOGIES FOR ABSENCE**

Apologies for absence were received from Councillor R Francis-Davies, Councillor R C Stewart and from Carol Green.

#### 3. **DISCLOSURES OF PERSONAL AND PREJUDICIAL INTERESTS**

In accordance with the Code of Conduct adopted by the City and County of Swansea, the following interest was declared: -

Councillor M Thomas – Minutes No.5 – Item 5.1 – Rural Development Plan 2014-2020 – Ward Councillor for Penclawdd – Personal.

4. **MINUTES**

**RESOLVED** that the Minutes of the meeting of the External Funding Panel held on 16 April 2015 be approved as a correct record subject to the following amendment:

Amend the spelling of Councillor J Harries to J Harris.

5. **OVERVIEW OF CURRENT AND FORTHCOMING EUROPEAN AND EXTERNAL FUNDING APPLICATIONS**

The European Officer provided an update on current and planned European and other external funding applications in progress or nearing approval and informed the Panel of early notification of proposed forthcoming funding applications and programmes. The applications were categorised by funding programme and theme. A broad summary of existing and future European funding programmes previously reported to the External Funding Panel in August 2014 was provided at Appendix A.

The Panel considered the applications contained within the report.

**RESOLVED** that:

- (1) the WREN grant be accepted if the application is successful;
- (2) the project enquiry form for Hafod Copper Works be submitted to HLF;
- (3) the draft logic table be submitted to appropriate City Region Pillar Working Groups and WEFO;
- (4) an URBACT III application be pursued under the innovation theme;
- (5) alternative funding options for the city centre be explored further;
- (6) the indicative allocation of £1.4M RDP be accepted and that regular reports be made on progress to the External Funding Panel and that the programme also be reported to Cabinet;
- (7) opportunities to access other European programmes be actively explored.

6. **APPLICATION FOR WESTERN BAY SMAF FUNDING FROM CAER LAS CYMRU**

The Grant Co-ordinator informed the Panel regarding a draft capital bid which will be considered by the Western Bay Area Planning Board on 3 June 2015. The funding was for £230,000 in respect of the Dragon Centre which was the former Swansea Cyrenians building.

The Panel would be kept informed of any developments.

The meeting ended at 5.05 p.m.

**CHAIR**

# Agenda Item 5

## Report of the Director of Place

### External Funding Panel – 5 August 2015

#### OVERVIEW OF CURRENT AND FORTHCOMING EUROPEAN AND EXTERNAL FUNDING APPLICATIONS

<b>Purpose:</b>	To provide an update on current and planned European and other External funding applications
<b>Policy Framework:</b>	Swansea Bay City Region Economic Regeneration Strategy.
<b>Reason for Decision:</b>	1) Update on European and External funding applications 2) Approval to submit certain project enquiry forms
<b>Consultation:</b>	Legal, Finance and Access to Services.
<b>Recommendation(s):</b>	1) That the proposed Workways + application and funding package be supported
<b>Report Author:</b>	Paul Relf
<b>Finance Officer:</b>	Paul Cridland, Jayne James
<b>Legal Officer:</b>	Wendy Parkin
<b>Access to Services Officer:</b>	Kirsty Roderick, Catherine Window, Phil Couch



## **1.0 Introduction**

1.1 This paper is an update on the European and External funding report to the 3 June 2015 External Funding Panel, aiming to provide:

- an update on European and External funding applications in progress or nearing approval
- early notification of proposed forthcoming funding applications and programmes

The applications are categorised by funding programme and theme. Information included in the previous report is not repeated.

## **2.0 Hafod & Morfa Copperworks site**

### WREN

2.2 A decision from WREN on the application approved at the 3 June 2015 meeting for £75,000 towards repairs to the Musgrave Engine House is still awaited.

### Heritage Lottery Fund – Enterprise

2.3 Following the submission of the project enquiry form approved at the 3 June 2015 meeting, HLF have requested further details and a site meeting to take place in August 2015.

## **3.0 City Centre Regeneration**

### European Regional Development Fund (ERDF) Priority 4 Objective 4 proposal for Kingsway employment hub

3.2 Following agreement from the Panel to progress the WEFO Logic Table for the Kingsway Employment Hub, and agreement at Swansea Bay City Region Pillar 3, the proposal is going forward as a standalone component of a regional package of proposals from South West region. A meeting with WEFO has been scheduled for 21 August 2015 with the aim of securing agreement for the package of projects to proceed to the next application stage.

### European Regional Development Fund (ERDF) Priority 4 Objective 4 Welsh Government 'Use it or Lose it' scheme submissions

3.4 The prioritised list including three Swansea proposals have now been agreed by Pillar 3 of the Swansea Bay City Region Board, has now been submitted to Welsh Government for inclusion in the national 'Use it or Lose It' business plan. Further detail on the next steps of the process is awaited from Welsh Government.

### URBACT III

- 3.5 Contact will be made shortly with the URBACT support unit to explore the proposed application for a problem solving partnership around the theme of innovation further.

### Parks for People

- 3.14 An opportunity has been identified to consider a submission to the Parks for People lottery-funded programme for a scheme that could combine reconfiguration of the Kingsway with an upgrade to Castle Square. More information will be provided in the next update.

### Alternative options for funding City Centre regeneration

- 3.15 Discussions underway.

## **4.0 European Social Fund**

- 4.1 Background detail on the European Social Fund can be found in the 3 June 2015 summary report to the panel.

### Cynydd and 'Symud 'Mlan

- 4.4 Pembrokeshire County Council are leading two proposals for working with young people who are either NEET or at risk of becoming NEET (not in education, training or employment) which are described in the previous report. Costings are yet to be finalised for these projects. Approval is likely to be delayed until November 2015 at the earliest.

### Workways +

- 4.5 Workways + will be the only employability project submitted for ESF support from the South West region. The project will focus on a narrow target group of those who are economically inactive, but living outside of Communities First wards. (Communities First wards will be served by the national 'Communities 4 Work' ESF project only – see separate report). The project will not support those who can readily access support from mainstream job centre support, or those who are not able to work.

Draft costings and proposed funding package are appended to the report.

As a point of principle, learning the lessons from previous programmes and projects and discussion in June 2014 at Executive Board, it is proposed that the European Office are formally part of the project management of all EU-funded schemes.

**Recommendation: That the proposed application and funding package be supported.**

## **5.0 Rural Development Plan 2014-2020**

5.1 A draft rural local development strategy was submitted to Welsh Government in September 2014 in consultation with Cabinet Member for Regeneration. The document has been approved but is to be subject to detailed annual review.

5.2 Welsh Government has now invited the submission of delivery profiles. Once accepted the Swansea Rural Local Development Strategy will be recommended for formal approval.

5.3 The Cabinet Report recommending the approval of the delivery of the Rural Development Plan 2014-2020 'LEADER' Programme was approved on 16<sup>th</sup> July 2015.

5.4 As part of the LEADER programme a small team of three officers within the European Team will be required to administer and support the Local Action Group, and report to Welsh Government. Following the approval of the Cabinet Report the next stage will be to appoint staff to undertake delivery of this programme.

5.5 The Rural Community Development Fund (RCDF) has now been launched by Welsh Government and includes themes around Access to Services and Village Renewal. Guidance for this capital scheme has recently been published and a deadline for the submission of Expressions of Interest has been set for 31<sup>st</sup> January 2016.

5.6 As a requirement of the RCDF scheme all applicants at community level must discuss their ideas and proposals with the LEADER Local Action Group (LAG) for their area before submitting their application to the Welsh Government. This is so that the LAGs may check the fit with the priorities of the Local Development Strategy (LDS) for Swansea and help to develop the community-led proposals.

## **6.0 European Fisheries Fund**

6.1 The current round of European Fisheries Fund is due to end on 30<sup>th</sup> September 2015, with a number of projects successfully supported by the Swansea Bay Fisheries Local Action Group (SBFLAG), including the Fish is the Dish Education Programme that has been delivered to over 20 primary school classes throughout the Swansea Bay area.

6.2 Arrangements for 2014-2020 round of European Maritime and Fisheries Fund (EMFF) are yet to be finalised by Welsh Government. The start date for the next programme is likely to be delayed until spring 2016.

6.3 The programme will be small scale with an indicative budget of £12M nationally covering all measures, but potentially very useful area of funding for fisheries and marine-related developments and studies. The funding will present an opportunity to build upon developments made under EFF and support other proposals.

## **7.0 Other European programmes**

7.1 A range of other opportunities exist, particularly through programmes designed for joint problem-solving through regions across Europe and beyond working together. Programme titles and weblinks to draft programme documents are provided as part of Annex A.

7.2 General characteristics of these programmes:

- Usually should include at least one other member state – varies between programmes
- Primarily revenue expenditure, only limited scope for capital works
- There must be a European dimension to project proposals – not just bolted on to a local initiative to secure funding.
- Opportunities to work with other cities and regions across EU to work on joint problems and issues.
- Grant rates usually generous, 75-80%.

As approved at the external funding panel meeting on 3<sup>rd</sup> June 2015, opportunities to access other European programmes will be actively explored.

## **8.0 Equality and Engagement Implications**

8.1 Every European programme is subject to a full Equality (and Environmental) Impact Assessment, which are available for public consultation as programme development progresses. Projects and strategies developed for submission to the programme must detail how they will address equality issues as a cross-cutting theme. The level of integration of equality into project and strategy design is part of the formal development and assessment process for every strategy and project seeking European funding.

## **9.0 Financial Implications**

9.1 A number of issues need to be considered based on experience from the current programming round:

- Statutory provision is not eligible for European funding support.
- Need to take advantage of flat rate costing methodologies where possible across all council EU projects/contracts, e.g. overheads in line with WEFO guidance.

- Ensure resourcing is proportionate to the scale of schemes being undertaken with costs to support European Team staff included in applications where appropriate, including appropriate finance, audit, grant claim and procurement expertise. This will also ensure all eligible costs required are included, e.g. external audit costs.
- Ensure provision is made to allow for ineligible expenditure and other project related costs such as prior service in relation to redundancy.
- Consider the implications on central services of scale of activities proposed and associated administration requirements.
- Ensure appropriate procurement
- Ensure match funding options are identified before the submission of application as resources become increasingly constrained as cash and staff resources continue to reduce, affecting the Council's ability to attract EU funding.
- Ensure there is robust document retention and storage to meet EU audit requirements

## **10.0 Legal Implications**

- 10.1 Documentation prepared to support implementation of current collaborative arrangements will need to be reviewed against updated WEFO guidance, taking into account lessons learned from current implementation arrangements.
- 10.2 Generally the terms attached to EU Grant Funding are legally binding and should be formally recorded in an appropriate document/contract. Any joint bid by Regional Partners should similarly be so recorded and governance issues clarified in terms of legal responsibility and decision making.

## **Background Papers:**

Workways+ Draft costings

**SWANSEA - PROJECTED EXPENDITURE 3 YEARS**

	Total Eligible Costs	Description if different from cost line	Calculation (how the figure was arrived at)	Mobilisation period QTR 1	QTR 2	QTR 3	QTR 4	QTR 5	QTR 6	QTR 7	QTR 8	QTR 9	QTR 10	QTR 11	Project closure period QTR 12	TOTAL
<b>TYPE 1 COSTS</b>																
<b>Staff</b>																
Academics	0															0
Administrators	155,768		1 x FTE Q&P + 0.5FTE Admin, Anticipated SCP's, incremented 1% + oncosts	12,325	12,325	12,827	12,827	12,827	12,827	13,212	13,212	13,212	13,212	13,481	13,481	155,768
Advisors	0															0
Assessors	0															0
Assistant Managers	0															0
Business Agents	0															0
Caretakers	0															0
Case Workers	0															0
Casual Staff	0															0
Chief Executives	0															0
Co-ordinators	0															0
Community Officers	0															0
Consultants	0															0
Direct College Staff	0															0
Directors	0															0
Drivers	0															0
Employment Advisors	0															0
Employment Officers	154,407		ELO's x 2, Anticipated SCP's, incremented 1% + oncosts	8,641	12,961	13,429	13,429	13,429	13,429	13,824	13,824	13,824	13,824	14,276	14,276	154,407
European Officers	0															0
Evaluators	0															0
Finance & Accounting	112,557		1 x FTE Finance Officer, Anticipated SCP's, incremented 1% + oncosts	8,903	8,904	9,258	9,258	9,258	9,258	9,530	9,530	9,530	9,530	9,799	9,799	112,557
Groundworker	0															0
Guidance & Counselling	0															0
Health & Safety Officers	0															0
Indirect College Staff	0															0
Lecturers	0															0
Liaison Officers	0															0
Managers	130,728		HOS, Bus Mgr & Principle EU Officer, incremented 1% + oncosts	10,651	10,651	10,864	10,864	10,864	10,864	10,976	10,976	10,976	10,976	11,033	11,033	130,728
Marketing & Communication	0															0
Mentors	463,222		Mentors x 6, Anticipated SCP's, incremented 1% + oncosts	25,921	38,882	40,287	40,287	40,287	40,287	41,473	41,473	41,473	41,473	42,827	42,827	463,222
Outreach workers	0															0
Pension	0															0
Project Managers	69,327		Project Manager x 0.5, incremented 1% + oncosts	10,169	5,086	5,279	5,279	5,279	5,279	5,433	5,433	5,433	5,433	5,612	5,612	69,327
Project Workers	124,038		BB&M Officers x 2.6FTE, incremented 1% + oncosts	10,304	10,302	10,405	10,405	10,405	10,405	10,405	10,302	10,302	10,302	10,302	10,302	124,038
Redundancy	24,750		4.5wks x £500 p/w x 11 ESF staff													24,750
Researchers	0															0
Special Needs	0															0
Staff	0															0
Steering Groups	0															0
Support Workers	0															0
Teaching Staff	0															0
Team Leaders	0															0
Trainees	0															0
Training Officers	0															0
Training Staff	0															0
Volunteers	0															0
Youth Workers	0															0
<b>TOTAL TYPE 1</b>	<b>1,234,797</b>			<b>86,914</b>	<b>99,111</b>	<b>102,349</b>	<b>102,349</b>	<b>102,349</b>	<b>102,349</b>	<b>104,750</b>	<b>104,750</b>	<b>104,750</b>	<b>104,750</b>	<b>107,330</b>	<b>113,046</b>	<b>1,234,797</b>
<b>TYPE 2 COSTS - INDIRECT</b>																
Overheads (Indirect) - Payroll	3,819		Based on no. payslips	636	636	1,273	1,273	1,273	1,273				1,273		637	3,819
Overheads (Indirect) - Employee Services	3,819		Based on no. payslips	636	636	1,273	1,273	1,273	1,273				1,273		637	3,819
Overheads (Indirect) - Cashiers	32		Based on no. cashiers transactions	5	5	11	11	11	11				11		5	32
Overheads (Indirect) - Payables Control	5,673		Based on no. of payments made	946	288	1,891	1,891	1,891	1,891	575	575	575	575	575	945	5,673
Overheads (Indirect) - Receivables	1,725		Based on no. of receivables transactions	288	288	575	575	575	575				575		287	1,725
Overheads (Indirect) - Internal Audit	2,685		Based on no. payslips	449	449	898	898	898	898				898		450	2,685
Overheads (Indirect) - Accountancy	2,275		Cost of directorates gross budget	379	379	758	758	758	758				758		380	2,275
Overheads (Indirect) - Mail Room	4,927		Based on cost of postage	821	821	1,642	1,642	1,642	1,642				1,642		822	4,927
Overheads (Indirect) - ICT Service	82,883		Based on no. users in LA	13,814	13,814	27,628	27,628	27,628	27,628				27,628		13,813	82,883
Overheads (Indirect) - ORACLE Finance Service	22,843		Based on no. users in LA	3,807	3,807	7,614	7,614	7,614	7,614				7,614		3,808	22,843
Overheads (Indirect) - Telephony	1,198		Based on phonecalls	200	200	399	399	399	399				399		200	1,198
Overheads (Indirect) - WAO	10,725		Based on cost of WAO audits	1,788	1,788	3,575	3,575	3,575	3,575				3,575		1,787	10,725
<b>TOTAL TYPE 2</b>	<b>142,614</b>			<b>0</b>	<b>23,769</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>47,537</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>47,537</b>	<b>0</b>	<b>23,771</b>	<b>142,614</b>
<b>TYPE 3 COSTS - DIRECT</b>																
<b>Participant costs</b>																
Participant Wages	210,575		Assuming 920 engagement	6,287	22,902	27,186	27,186	27,186	27,186	22,760	21,958	21,399	22,097	11,614		210,575
Procured Activity (Participant Training)	138,000		46 PWES (5% engagement) x average £286 p/w x 16wks	13,800	13,800	13,800	13,800	13,800	13,800	13,800	13,800	13,800	13,800	13,800		138,000
Human Resources Other	3,600		460 participants x £300	360	360	360	360	360	360	360	360	360	360	360		3,600
Personal Protective Clothing	20,100		46 PWES participants @ £100 +310 remainder of vol & job outcome) x £50	2,010	2,010	2,010	2,010	2,010	2,010	2,010	2,010	2,010	2,010	2,010		20,100
Creche & Carers	13,440		28 participants (3% engagement x 16wks x £30)	1,344	1,344	1,344	1,344	1,344	1,344	1,344	1,344	1,344	1,344	1,344		13,440
Travel & Transport (Participants)	38,272		46PWE's x 16wks @ 12 +207 (60% vol outstanding) @ £12 x 10wks + 92 (20% training) @ £50	2,128	4,252	4,252	4,252	4,252	4,252	4,252	4,252	4,252	4,252	2,128		38,272
<b>Sub total</b>	<b>423,987</b>			<b>0</b>	<b>25,929</b>	<b>44,668</b>	<b>48,952</b>	<b>48,952</b>	<b>48,952</b>	<b>44,526</b>	<b>43,724</b>	<b>43,165</b>	<b>43,863</b>	<b>31,256</b>	<b>0</b>	<b>423,987</b>

**SWANSEA - PROJECTED EXPENDITURE 3 YEARS**

	Total Eligible Costs	Description if different from cost line	Calculation (how the figure was arrived at)	Mobilisation period QTR 1	QTR 2	QTR 3	QTR 4	QTR 5	QTR 6	QTR 7	QTR 8	QTR 9	QTR 10	QTR 11	Project closure period QTR 12	TOTAL
<b>Accommodation</b>																
Accommodation Other	0															0
Building Insurance	0															0
Building repairs & maintenance	0															0
Cleaning, refuse & laundry	0															0
Electricity	0															0
Furniture & Fittings	0															0
Gas & oil	0															0
Machinery & equipment	0															0
Rates	0															0
Rent	0															0
Repairs & Maintenance	0															0
Room Hire	0															0
Security	0															0
Water rates	0															0
<b>Sub total</b>	<b>0</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Administration</b>																
Administration Other	0															0
Central Services	0															0
Consumables	0															0
Equipment leases	0															0
Fax	0															0
Insurance	0															0
Journals Reference books & Publications	0															0
Mobile Phones	0															0
Office Furniture & Equipment	0															0
Photocopier	0															0
Photocopying	0															0
Postage	0															0
Stationery	0															0
Telephone	0															0
<b>Sub total</b>	<b>0</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Health &amp; Safety</b>																
First Aid	0															0
Health & Safety Other	0															0
Personal Safety Equipment	0															0
Quality Assurance	0															0
<b>Sub total</b>	<b>0</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Human Resources</b>																
Counselling & guidance	0															0
Creche & Care Allowances	0															0
Examination & Registration Fees	0															0
NVQ Costs	0															0
Overnight Accommodation	0															0
Recruitment	0															0
Staff checks	0	Staff CRB checks etc														0
Training	300	Ad-hoc training as identified	Based on previous project costs				300									300
Training Allowances	0															0
Training Courses	0															0
Training Materials	0															0
Travel	0															0
Uniforms	0															0
Workshops	0															0
<b>Sub total</b>	<b>300</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>300</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>300</b>
<b>ICT</b>																
Active Telecoms	0															0
Computer Maintenance	0															0
Computer Repairs	0															0
Databases	0															0
E-commerce services	0															0
Hardware	5,500		11 laptops @ £500 ea	5,500												5,500
Hardware Purchase	0															0
ICT Consumables	0															0
ICT Equipment Rental	0															0
ICT Other	0															0
Internet Costs	0															0
Licences	0															0
Line Rental	0															0
Passive Telecoms	0															0
Software	0															0
Software Licences	0															0
Software Purchase & Upgrades	0															0
Support	0															0
Website administration	0															0
Website costs	0															0
<b>Sub total</b>	<b>5,500</b>			<b>5,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,500</b>
<b>Legal &amp; Professional</b>																
Accountancy & audit	0															0
Accreditation costs	0															0

**SWANSEA - PROJECTED EXPENDITURE 3 YEARS**

	Total Eligible Costs	Description if different from cost line	Calculation (how the figure was arrived at)	Mobilisation period QTR 1	QTR 2	QTR 3	QTR 4	QTR 5	QTR 6	QTR 7	QTR 8	QTR 9	QTR 10	QTR 11	Project closure period 12	TOTAL
Bank & Payroll Charges	0															0
Consultancy fees	0															0
Cost of Finance	0															0
Evaluation, development & monitoring	0															0
Interest payable	0															0
Legal & Professional fees	0															0
Management Fees	0															0
Subscriptions	0															0
<b>Sub total</b>	<b>0</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Marketing &amp; Promotion</b>																
Advertising & promotion	0															0
Art & design	0															0
Business events	0															0
Community Activities	0															0
Conventions	0															0
Entertainment	0															0
Events & Awards	0															0
Exhibitions	0															0
Information & advice	0															0
Marketing & Promotion	0															0
Media costs	0															0
Meetings & conferences	0															0
Merchandise & branding	0															0
PR & printing	0															0
Partnership work	0															0
Printing, Production & Reprographics	0															0
Publicity	0															0
Research	0															0
Translation & Proof reading	0															0
<b>Sub total</b>	<b>0</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Travel &amp; Transport</b>																
Delivery	0															0
Fleet Maintenance	0															0
Fuel	0															0
Insurance & Tax	0															0
Mileage	13,500	Staff mileage	Based on previous project costs		1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350	1,350		13,500
Subsistence	0															0
Travel	0															0
Vehicle lease	0															0
Vehicle running costs	0															0
<b>Sub total</b>	<b>13,500</b>			<b>0</b>	<b>1,350</b>	<b>1,350</b>	<b>1,350</b>	<b>1,350</b>	<b>1,350</b>	<b>1,350</b>	<b>1,350</b>	<b>1,350</b>	<b>1,350</b>	<b>1,350</b>	<b>0</b>	<b>13,500</b>
<b>TOTAL TYPE 3</b>	<b>443,287</b>			<b>5,500</b>	<b>27,279</b>	<b>46,018</b>	<b>50,602</b>	<b>50,302</b>	<b>50,302</b>	<b>45,876</b>	<b>45,074</b>	<b>44,515</b>	<b>45,213</b>	<b>32,606</b>	<b>0</b>	<b>443,287</b>
<b>Project Total (Type 1 + 2 + 3)</b>	<b>1,820,698</b>			<b>92,414</b>	<b>150,159</b>	<b>148,367</b>	<b>152,951</b>	<b>152,651</b>	<b>200,188</b>	<b>150,626</b>	<b>149,824</b>	<b>149,265</b>	<b>197,500</b>	<b>139,936</b>	<b>136,817</b>	<b>1,820,698</b>
<b>As we have indirect costs, we can claim FR15 (15% of staff costs to cover all indirect costs)</b>																
<b>Therefore, Project cost will be:</b>																
<b>Total project cost</b>	<b>1,820,698</b>															
<b>Less: Grant type 2</b>	<b>142,614</b>															
<b>Plus 15% x Staff Costs (Total Type 1)</b>	<b>185,220</b>															
<b>Project costs claimable from WEFO</b>	<b>1,863,304</b>															
Minimum Participant no. @ £9.5k unit cost	872															
Target Participant Engagement no.	920															
Grant @ 78% would be:	1,453,377															
Match Funding gap would be:	367,321															



## External Funding Panel – 5 August 2015

### OVERVIEW OF GRANT RELATED ACCEPTANCES AND SCHEMES IN 2015/16

<b>Purpose:</b>	To update the Panel on Grant related acceptances and schemes in 2015-16
<b>Policy Framework:</b>	
<b>Reason for Decision:</b>	Update on general grant funding.
<b>Consultation:</b>	Legal, Finance and Access to Services.
<b>Report Author:</b>	Kathryn Boyle
<b>Finance Officer:</b>	Jeff Dong
<b>Legal Officer:</b>	Wendy Parkin
<b>Access to Services Officer:</b>	Sherrill Hopkins
<b>FOR INFORMATION</b>	

#### 1.0 Introduction

1.1 The purpose of this paper is to provide:

An update to the Panel of grants accepted by the City & County of Swansea which were not subject to individual approval by the Panel. Please see the attached list. Further information, on each scheme can be provided to the Panel as requested; Further information on all the European Grants and a number of the other external grants are contained in the report presented by the European Principal Officer at this meeting.

#### Notes of explanation

a) The Environmental and Sustainable Development Directorate Revenue Grant 2015-16 replaces the Sustainable Waste Management Grant, also the Tidy Towns grants and The Lead Authorities Flood and Coastal management grant. There are significant changes to the grant procedure – this will be the single source of revenue from the Directorate. The breakdown of the grant is as follows

- 1) Sustainable Waste Management Grant £4,641,179
- 2) Tidy Towns, Essential (core) funding) £34061
- 3) Tidy towns, Probation team £17,420
- 4) Tidy Towns Nature conservation – £25,303
- 5) Flood & Coastal Management risk – £48,659

Total £4,766,622

b) The Local Transport Fund now incorporates - Safe Routes to School (SRIC), Road Safety Revenue funding and Road Safety Capital funding. The breakdown of the Funding is as follows £2,713,996

- 1) Local Transport Fund £1,968,000 (Active Travel)
- 2) Safe Routes in Communities £248,200
- 3) Road Safety Revenue £119,996
- 4) Road Safety Capital £377,800

c) The Education Improvement Grant is the new Education regional “super” grant amalgamating 10 previously separate grants into 1 approval. This grant comes to us via ERW (Education through Regional Working) and the regional bankers and Lead Authority are Pembrokeshire. Of the £39.98m allocated to the region Swansea has received an allocation of £10.4m. This is broken down as follows and includes £752,852 of City & County of Swansea match funding.

- 14-19 Learning Pathways £176,386
- Foundation Phase £7,132,817
- MEAG (Minority Ethnic Achievement Grant) £1,434,268
- SEG (Special Education Grant) £1,848,531
- WEG (Welsh Education Grant) £328,000
- Education of Gypsy/ Traveller Children £62,000
- Leadership/resources/admin £203,750

The Pupil Deprivation Grant is also a regional ERW grant. Our allocation from ERW for 2015-16 is £6,072,600

**Recommendation: That the Panel note the attached list.**

## **2 Equality and Engagement Implications**

Many individual schemes have Equality and Engagement Implications however the noting of this report does not, in itself, carry any implications

## **3 Financial Implications**

Each scheme has its own Financial Implications, however the noting of this report does not, in itself, carry any implications

#### **4 Legal Implications**

Terms and Conditions of grant from the Welsh Government and the European Union are generally binding, this should be noted when accepting grants from external bodies.

#### **5 Background Papers:**

##### **Appendices:**

Extract of CCS spreadsheet containing a list of grant schemes approved and applied for from 1<sup>st</sup> April to 20<sup>th</sup> July 2015

Applications and Approvals				
<b>From 1st April to 20 July 2015</b>				
1	Glyn Vivian Art Gallery – Large Grant Scheme 2015-16	Arts Council of Wales	£28,000	Approval
2	Glyn Vivian Art Gallery – Annual Revenue Funding 2015-16	Arts Council of Wales	£121,000	Approval
3	Environmental and Sustainable Development Directorate Revenue Grant 2015-16 (a)	Welsh Government	£4,766,622	Approval
4	The Creative Classroom 2015-16	Arts Council of Wales	£25,000	Approval
5	Wales Coast Path Programme	Natural Resources Wales	£49,880	Approval
6	Western Bay Regional Collaboration Fund 15-16	Welsh Government	£837,000	Approval
7	Musgrave Engine House – WREN	Waste Recycle ENvironment Ltd	£75,000	Application date 17/4/2015– outcome known in Sept 2015
8	Western Bay Social Care – Supporting the Implementation of the Social Services and Wellbeing grant: Delivering Transformation 2015-16	Welsh Government	£481,418	Application date 27/4/2015.
9	Armed Forces Day 2015-16	MOD	£2,500	Application date 27/4/2015
10	Local Service Board 2015-16	Welsh Government	£30,400	Approval
11	Bus Subsidy Grant 2015-16	Welsh Government	£5,204,902	Approval
12	Gypsy and Traveller Site (Ty Gwyn refurbishment) 2015-16	Welsh Government	£22,000	Approval
13	Unpaid Work Order 15-16	Youth Justice Board	£9,879	Approval
14	Communities First – 5 Cluster single approval. 2015-16	Welsh Government	£2,938,037	Approval
15	Supporting People 2015-16	Welsh Government	£13,817,121	Approval
16	Rights of Way Improvement Plan	Natural Resources Wales	£37,286	Approval
17	Glyn Vivian Art Gallery - Annual Revenue Support Grant <b>2016-2017, 2017-18,2018-19</b>	Arts Council of Wales	£750,000	Application date 19/5/2015
18	Swansea Bay Fisheries – Local Action Group – FLAG. Lead Body running costs	Welsh Government – European Fisheries Fund	£32,000	Approval
19	Induction support (teachers)	Welsh Government	£7,071	Approval
20	Gower Path Improvement	Gower Society	£3,000	Approval

21	Welsh Independent Living Grant	Welsh Government	£945,788	Approval
22	Social Care Workforce Development Grant	Welsh Government	£539011	Application 28/5/2015 (unofficial approval awaiting formal letter from the WG)
23	Glyn Vivian Art Gallery –Purchases	Victoria and Albert	£1,230	Approval
24	Local Transport Fund (b)	Welsh Government	£2,713,996	Approval
25	Major Repairs Allowance	Welsh Government	£9,136,640	Approval
26	Swansea Bay Voluntary Marine Wildlife Code of Conduct	Welsh Government – European Fisheries Fund	£4490	Approval
27	Gower Landscape Partnership	Natural Resources Wales	£120,000	Approval
28	Wales Retail relief Scheme (Business Rates)	Welsh Government	£1,619,087	Approval
29	Homelessness Grant – Housing Act Additional Funding	Welsh Government	£66,376*	Approval
30	IDVA (MARAC) Domestic Abuse 2015-16	Home Office	£37,500	Approval
31	Sport and Physical Activity Development Plan	Sport Wales	£617,393	Approval
32	Swansea Bay Fishing Industry Research Study	European Fisheries Fund	£15,000	Approval
33	Partnership Programme – NON AONB Joint Working Partnership Programme	Natural Resources Wales	£58,500	Approval
34	Western Bay Regional Collaboration Fund	Welsh Government	£837,000	Approval
35	EIG – Education Improvement Grant ©	ERW – Welsh Government	£10,432,900	Approval